

# Single-spin asymmetry from helicity changing rescattering in semi-inclusive DIS

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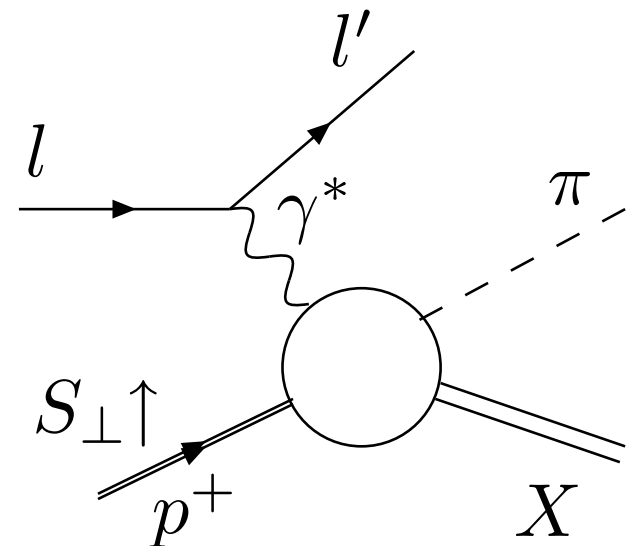
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# Outline

- Introduction
- Background on transverse spin asymmetries
- Model calculations of SIDIS
  - The BHS model
  - Our calculation (Paul Hoyer and MJ)
- Results and conclusion

# Introduction

- Single-spin asymmetry (SSA) = asymmetry in a reaction where the spin of one of the particles is measured
- Parity invariance requires **transverse** spin (for  $2 \rightarrow 2$  and  $a+b \rightarrow c+X$ )
- Large transverse spin asymmetries observed, *i.e.*, in  $pp \rightarrow \Lambda^\uparrow X$ ,  $p^\uparrow p \rightarrow \pi X$  and recently in semi-inclusive DIS,  $ep^\uparrow \rightarrow e\pi X$
- Spin dependent cross sections serve as a sensitive test of our understanding of QCD
- I will discuss dynamical mechanisms for **leading twist** ( $Q^2 \rightarrow \infty$ ) asymmetries in **semi-inclusive DIS (SIDIS)** with transversely polarized target proton

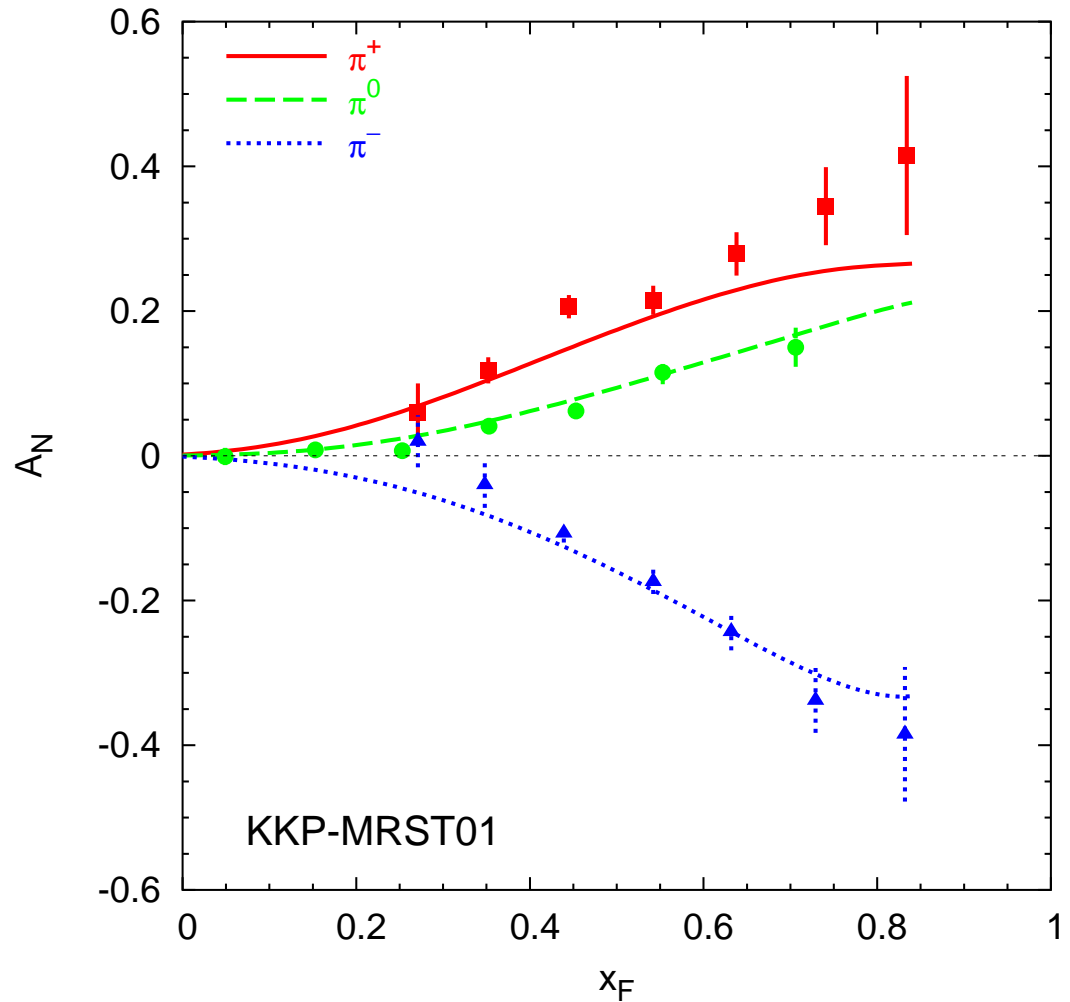


# Large transverse asymmetries in $p^\uparrow p \rightarrow \pi X$

E704 data ( $\sqrt{s} = 20\text{GeV}$ ,  
 $p_\perp \sim 1\text{-}2\text{GeV}$ ):

- Asymmetries large,  $\sim 40\%$  for high  $x_F$
- $\pi^+$  and  $\pi^-$  distributions mirror symmetric
- For  $\pi^0$  the asymmetry is in the same direction as for  $\pi^+$ , but smaller

Recent result from STAR ( $\sqrt{s} = 200\text{GeV}$ ): the asymmetry persists when energy is increased



# SIDIS: Theoretical Background

SIDIS can be described using **QCD factorization**:

Cross section =

Structure functions  $\otimes$  Parton cross section  $\otimes$  Fragmentation functions

- Transverse asymmetries arising from the hard QCD subprocess are negligible (they are  $\propto m_q$ )
- Observed, large asymmetries suggest soft rescattering and/or fragmentation effects
- **$k_\perp$  dependent** factorization for SIDIS was proved recently

[Ji, Ma, and Yuan, PRD71(2005)034005]

## Background: Sivers and Collins effects

The asymmetry may arise either from initial (parton distribution) or final (fragmentation distribution) reinteractions:

In the **Sivers** effect, asymmetry arises due to a T-odd parton distribution ( $\Delta^N f_{q^\uparrow/p}$ , Sivers function)

$$SSA \sim \Delta^N f(x, k_\perp) \otimes d\sigma \otimes D(z)$$

[Sivers PRD43(1991)83]

In the **Collins** effect, asymmetry arises due to a T-odd fragmentation function ( $\Delta^N D_{h/q^\uparrow}$ , Collins function)

$$SSA \sim h_1(x) \otimes d\sigma \otimes \Delta^N D(z, k_\perp)$$

[Collins NPB396(1993)161]

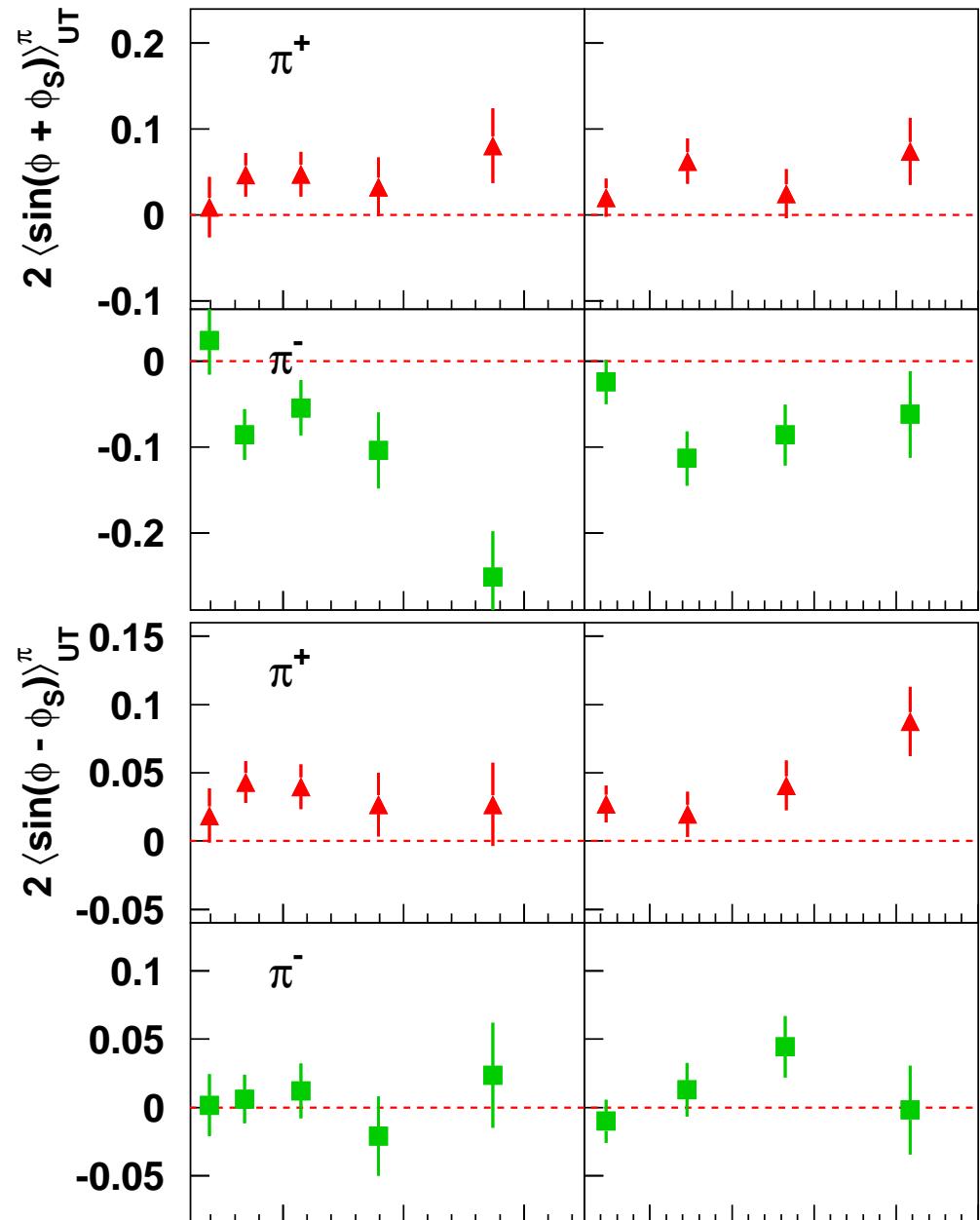
Here  $h_1$  is the transversity distribution.

# Experimental results from HERMES

In SIDIS Collins and Sivers mechanisms can be separated through their different dependence on the azimuthal angles ( $\phi$  and  $\phi_S$ ).

HERMES collaboration has measured non-vanishing Collins and Sivers effects in SIDIS

[HERMES PRL94(2005)012002]



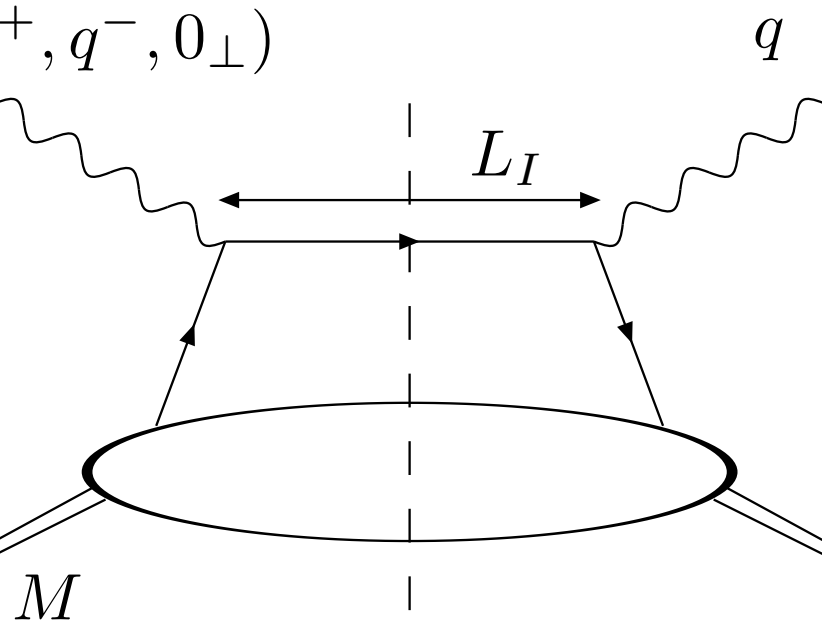
# DIS dynamics

The proper coherence length of the virtual photon is  $1/Q$  (expectation from  $q^2 = -Q^2$ ).

However, in the target rest frame we have (if  $\vec{q} \uparrow \uparrow + \hat{z}$ )

$$q^+ \simeq 2\nu$$

$$q^- \simeq -Q^2/2\nu \simeq -x_B M$$



$$\Rightarrow x^+ \sim 1/q^- \sim 1/x_B M$$

*i.e.*, the proper coherence length is Lorentz dilated to the **finite Ioffe length**

$$L_I \sim \frac{1}{Q} \cdot \frac{\nu}{Q} = \frac{1}{2Mx_B}$$

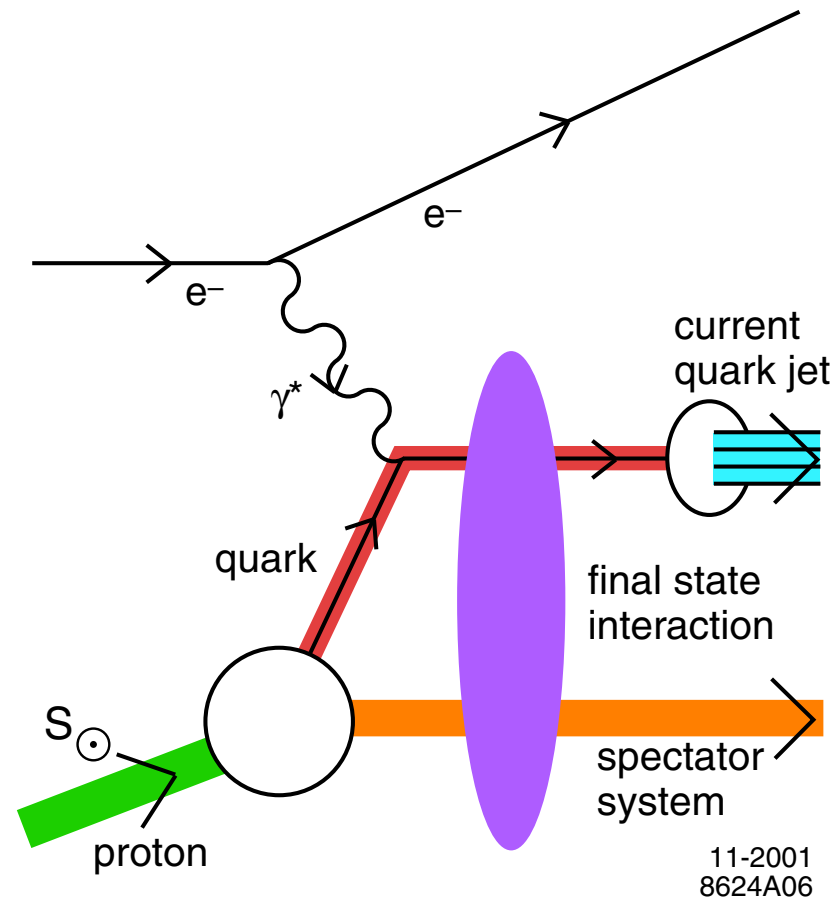
Rescattering within this length scale is coherent and it can cause leading twist effects.



# The BHS model

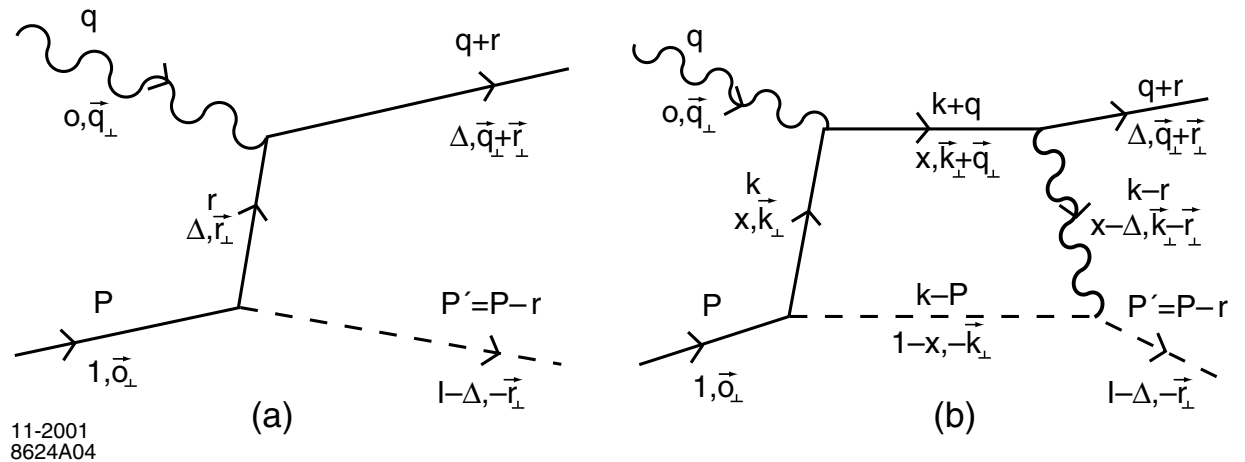
In the BHS model **leading twist** **Sivers effect** arises from **coherent, soft rescattering** between the struck quark and the projectile

[Brodsky, Hwang, and Schmidt,  
PLB530(2002)99]



## Some details of the BHS model

- QCD modelled as abelian gauge theory
- Rescattering from a scalar diquark



- T-odd Sivers function absent at the Born level, but arises due to the soft rescattering

The asymmetry

$$A_N \equiv \frac{\sum_{\{\sigma\}} [|\mathcal{M}_{\uparrow, \{\sigma\}}|^2 - |\mathcal{M}_{\downarrow, \{\sigma\}}|^2]}{\sum_{\{\sigma\}} [|\mathcal{M}_{\uparrow, \{\sigma\}}|^2 + |\mathcal{M}_{\downarrow, \{\sigma\}}|^2]} = \frac{2 \sum_{\{\sigma\}} \text{Im} [\mathcal{M}_{\leftarrow, \{\sigma\}}^* \mathcal{M}_{\rightarrow, \{\sigma\}}]}{\sum_{\{\sigma\}} [|\mathcal{M}_{\rightarrow, \{\sigma\}}|^2 + |\mathcal{M}_{\leftarrow, \{\sigma\}}|^2]}$$

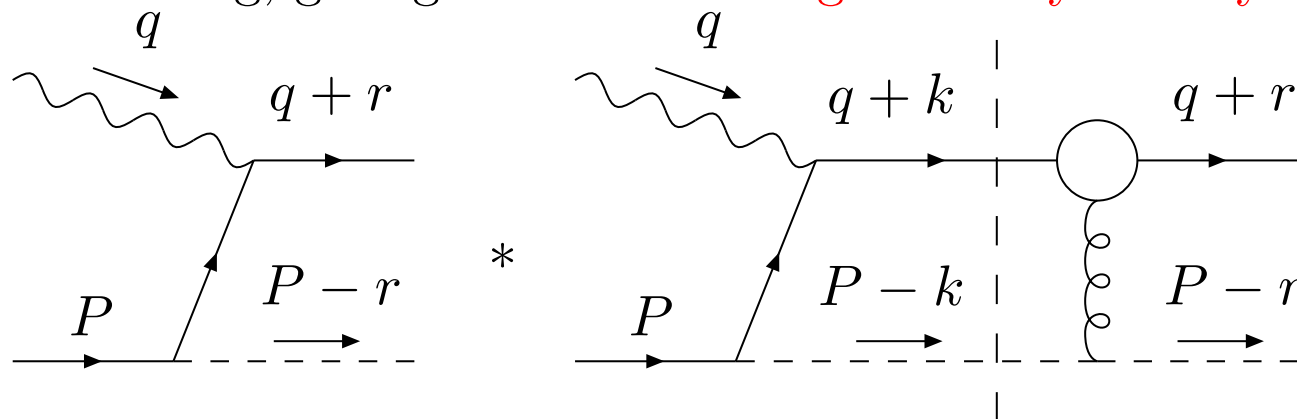
$\Rightarrow$  imaginary amplitudes, or a phase difference, is needed

# Our model

We use the BHS model to study the effects of non-perturbative features of QCD on SIDIS.

[Hoyer and MJ, hep-ph/0509058]

We consider the possibility that the non-perturbative, chirality breaking sector of QCD (*e.g.*, instantons) causes a **spin-flip** in the soft rescattering, giving rise to a **leading twist asymmetry**.



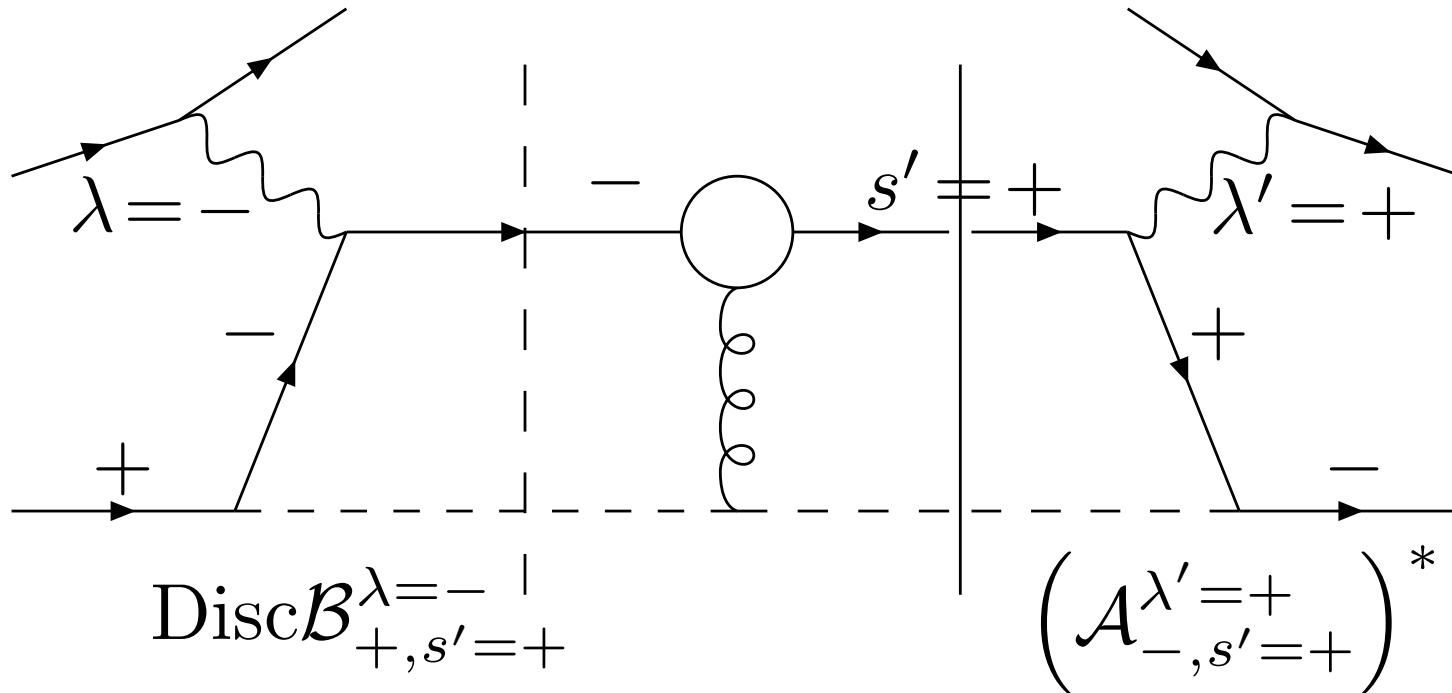
We describe the spin-flip (quark-anomalous magnetic moment) using a **Pauli coupling** (the blob,  $a(p^2)\bar{q}\sigma^{\mu\nu}p_\nu q$ ). The elastic scattering amplitude with Pauli coupling is  $\propto s$ , as needed for **leading twist asymmetry**

## Details

The asymmetry is given by

$$A_N \propto \sum_{\lambda, \lambda'} \sum_{s'} \text{Im} \left\{ L^{\lambda, \lambda'} \left[ \mathcal{A}_{+, s'}^{\lambda} \left( \text{Disc } \mathcal{B}_{-, s'}^{\lambda'} \right)^* + \text{Disc } \mathcal{B}_{+, s'}^{\lambda} \left( \mathcal{A}_{-, s'}^{\lambda'} \right)^* \right] \right\}$$

With Pauli coupling  $\lambda = -\lambda' \Rightarrow$  asymmetry depends on lepton momenta and the direction of the lepton scattering plane

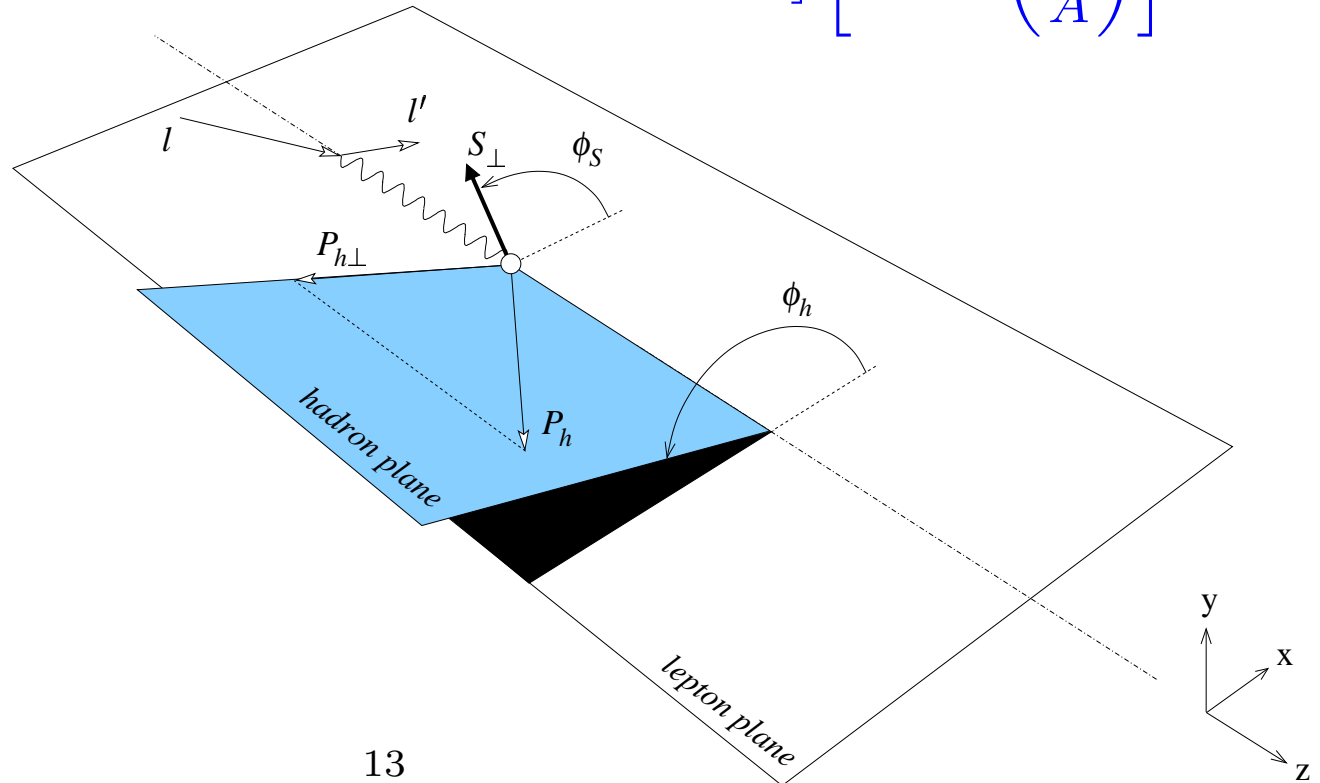


# The result

With the “form factor” of the Pauli coupling  $a(p^2) = a_0 e^{Ap^2}$ , in the limit of large  $A$  we find the explicit result for the asymmetry

$$A_N \simeq \frac{e_2 a_0}{2\pi A} \frac{1-y}{1+(1-y)^2} \frac{r_\perp}{\{r_\perp^2 + x_B [m_s^2 - (1-x_B)M^2]\} [r_\perp^2 + (Mx_B)^2]} \\ \times [r_\perp^2 \sin(3\phi_h - \phi_s) - (Mx_B)^2 \sin(\phi_h + \phi_s)] \left[ 1 + \mathcal{O}\left(\frac{1}{A}\right) \right]$$

See figure for angle definitions  
(here  $r_\perp \leftrightarrow P_{h\perp}$ )



# Conclusion

- **Non-perturbative features of QCD** may cause a **spin-flip** of the struck quark in DIS which leads to observable effects.
- The result has the **same angular dependence as the Collins effect**.
  - However, in our calculation the asymmetry arises from the rescattering of an on-shell struck quark
  - The angular and  $y$  dependencies of the result are a direct consequence of the spin-flip
- The effect we have discussed is **not expected in PQCD** since the anomalous magnetic moment of the initially bare struck quark takes too long to form (we are presently studying this)
- The possibility of an effect also on the  $k_{\perp}$  **integrated** transversity distribution  $h_1(x)$  merits study. It would allow to distinguish the effect discussed here from fragmentation effects.